



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hidenori KATO

Title: METHOD OF ESTABLISHING CELL INFORMATION IN RADIO

ACCESS NETWORK

Appl. No.: 10/538,667

Filing Date: 06/10/2005

Examiner: Unknown

Art Unit: 2154

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

RELEVANCE OF EACH DOCUMENT

Document B1 is a U.S. counterpart of Document B5, which relates to a mobile communication system, radio base station control system and radio base station control method, to efficiently deliver an RFN timing between a UPS and an RCS.

Document B2 is a U.S. counterpart of Document B6, which relates to a radio base station controller, mobile communication system and control method of a radio base station device.

Document B3 is a U.S. counterpart of Document B8, which relates to a wireless access network apparatus and mobile communication system employing the same to provide a wireless access network in the W-CDMA mobile communication system capable of building up a system rich in the scalability.

Document B10 is a WIPO counterpart of Document B4, which relates to a radio controller, mobile communication system, and operation control method, in order to reduce the amount of signals between C-U to a minimum in paging process without influencing a conventional interface when C-U separation is carried out in RNC of a W-CDMA mobile communication system.

Document B11 is a WIPO counterpart of Document B7, which relates to a control method for radio access network, and the radio access network to guarantee status management of a U-plane controller and hand-over processing in a configuration wherein the U-plane controller and C-plane controllers are separated. An English translation of the foreign-language documents is not readily available. However, the absence of such

Atty. Dkt. No. 040373-0361

translation does not relieve the PTO from its duty to consider the submitted foreign language documents (37 CFR §1.98 and MPEP §609). English language abstracts are attached.

Applicant respectfully requests that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Although Applicant believes that no fee is required for this Request, the Commissioner is hereby authorized to charge any additional fees which may be required for this Request to Deposit Account No. 19-0741.

Respectfully submitted,

Date: April 14, 2006

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MODIFIED PTO/SB/08 (08-00)
Approved for use through 10/31/2002, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE work Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control

Substitute for form 1449B/PTO Complete if Known INFORMATION DISCLOSURE Application Number 10/538 667 STATEMENT BY APPLICANT 06/10/2005 Filing Date Hidenori KATO First Named Inventor Date Submitted: April 14 2006 Group Art Unit 2154 Unknown (use as many sheets as necessary) Examiner Name of 1 Attorney Docket Number 040373-0361

			-	U.S. PATENT DOCUMENTS	3	
Examiner Initials*	Cite No.1	U.S. Patent Document			Date of Publication of	Pages, Columns, Lines, Where Relevant
		Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
	B1	2006/0014541	A1	KATO	01-19-2006	
	B2	2004/0127258	A1	TAKETSUGU	07-01-2004	
	В3	2003/0224826	A1	SAKATA et al.	12-04-2003	

			u.s	. PATENT APPLICATION DOCU	JMENTS	
Examiner	Cite	U.S. Patent Ap Docume		Name of Patentee or Applicant of	Filing Date of	Pages, Columns, Lines, Where Relevant
Initials*	No.1	Serial Number	Kind Code ² (if known)	Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear

					DREIGN PATENT DOCUMEN			
Examiner Initials*	Cite No.1	Office ³	oreign Patent Do Number ⁴	cument Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	т
	B4	JP	2004-194015	Α	NEC CORP.	07-08-2004		Α
	B5	JP	2004-194086	A	NEC CORP.	07-08-2004		A
	B6	JP	2004-194073	A	NEC CORP.	07-08-2004		A
	B7	JP	2004-194072	A	NEC CORP.	07-08-2004		A
	B8	JP	2003-348661	A	NEC CORP.	12-05-2003		A
	B9	wo	2004/054292	A1	NEC CORP.	06-24-2004		A
	B10	wo	2004/054307	A1	NEC CORP.	05-24-2004	**	A

Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	B11	Mobile Wireless Internet Forum, OpenRAN Architecture in 3 rd Generation Mobile Systems, Technical Report MTR-007; September 4, 2001, pp. 1-64.	
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Examiner Signature	Date Considered
Signature	Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Urique cliation designation number. ²See attached Kinds of U.S. Patent Documents. ¹Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3), ²For Japanese platent document, bit is indication of the Payer of the religion of the Emperor must precede the lumber of the patent document. ³Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 19 if possible. ⁹Applicant is to place a check mark here if English Japanese Translation is attached.

Burden Hour Statement. This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and admarks Office, P.O., Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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